



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Sector #  
#3

In re Patent Application of: Natwar Modani, et al.

Confirmation No. 1660

Serial No.: 09/782,934

Examiner:

Filed: February 13, 2001

Group Art: 2161

For: HONORING OF ELECTRONIC COUPONS

Assistant Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts
3. Response to Notice to File Missing Parts
4. Assignment to IBM with Recordation Form
5. Executed Declaration and Power of Attorney
6. Petition and Fee for One-Month Extension of Time
7. Request for Corrected Filing Receipt (for filing date)
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Kathy Cognatello

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Docket No.: JP920000227US1



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Natwar Modani, et al.  
Serial No.: 09/782,934  
Filed: February 13, 2001  
Confirmation No. 1660

Docket No. JP920000227US1  
Group Art Unit: 2161  
Dated: July 19, 2001

For: HONORING OF ELECTRONIC COUPONS

Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

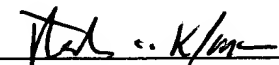
**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants referencing application Serial No. 09/782,934 as originally filed on April 13, 2001. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By   
Stephen C. Kaufman (Reg. 29,551)  
Attorney for Applicants  
914-945-3197

IBM Corporation  
Intellectual Property Law  
P.O. Box 218  
Yorktown Heights, N.Y. 10598  
Fax: 914-945-3281



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,934	02/15/2001	Natwar Modani	JP920000227US1

CONFIRMATION NO. 1660

## FORMALITIES LETTER



\*OC000000006015408\*

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Date Mailed: 04/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
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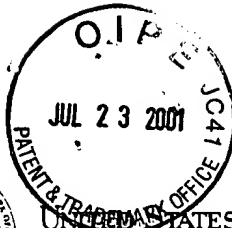
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/782,934	02/15/2001	2161	710	JP920000227US1	2	13	3

CONFIRMATION NO. 1660

## FILING RECEIPT

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Date Mailed: 04/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Natwar Modani, Residence Not Provided;  
Parul A. Mittal, Residence Not Provided;  
Rahul Garg, Residence Not Provided;  
Alok Aggarwal, Residence Not Provided;

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## Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 04/26/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Honoring of electronic coupons

**Preliminary Class**

705

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**Data entry by : GEREMEW, FIKIRTE**

**Team : OIPE**

**Date: 04/27/2001**



FILING PATENT APPLICATION UNDER RULE 1.53(b), APPLICATION  
FEE SHEET.

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This application of Natwar Modani, et al.

For HONORING OF ELECTRONIC COUPONS

Serial No.                      Docket No.: JP920000227US1 Atty.: SCK

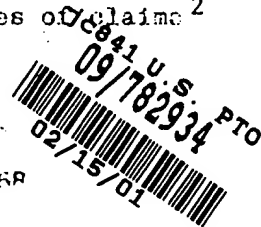
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No. of pages of specification 10 : No. of pages of claims 2

No. of sheets of drawings: 2 Formal

Declaration is attached to specification.

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FROM: (PLEASE PRINT) PHONE (914) 945-3243  
Stephen Kaufman  
IBM CORPORATION  
INTELLECTUAL PROPERTY LAW  
PO BOX 218  
YORKTOWN HEIGHTS NY 10598-0218  
JP920000227US1 (SCK)

TO: (PLEASE PRINT) PHONE ( )  
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Washington, D.C. 20231

DOCKET NO. JP920000227US1  
Date: February 12, 2001

Sir:

Transmitted herewith for filing is the Patent Application/of: under Rule 1.53(b)

Inventors: Natwar/Modani, Parul A. Mittal, Rahul Garg, Alok Aggarwal

For: HONORING OF ELECTRONIC COUPONS

**ASSIGNEE NAME: International Business Machines Corporation**

**ASSIGNEE RESIDENCE: Armonk, New York**

Enclosed are:

X 2 Sheets of Formal Drawings.

       An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

       A certified copy of a        application, dated       , no.       .

       Executed Declaration and Power of Attorney is attached to the application.

       Associate Power of Attorney.

       Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	<del>13 - 20 =</del>	<del>0</del>
TOTAL CLAIMS	13 - 20 =	0
INDEP CLAIMS	3 - 3 =	0
<u>      </u> MULTIPLE DEPENDENT CLAIM PRESENTED		

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

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x Any additional filing fees required under 37 CFR 1.16.

x Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By 

Manny Schecter

Registration No.: 31,722

Tel. (914) 945-3252

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

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Date of Deposit: Feb. 13, 2001